

Junior ISA Application Form

Please complete this form in **BLOCK CAPITALS** and return to: IFSL, PO Box 13586, Chelmsford, CM99 2GS, or to your financial adviser.

Section A - Personal Details This section is mandatory

Registered contact details

| | | |
|--|--|---------------|
| Title | Surname | First name(s) |
| Permanent residential address | | |
| Postcode | | Country |
| Telephone number | | Email address |
| Date of birth | National Insurance number | |
| <div>D D M M Y Y Y Y</div> | <div>L L N N N N N L</div> | |
| Nationality | | |
| Account number (please tick one box only) | | |
| Create new account <input type="checkbox"/> Use my existing account <input type="checkbox"/> please specify number | | |

I apply to open a Junior Stocks & Shares ISA for the following person who will be the beneficial owner of the account investments.

Child's details

| | | |
|-------------------------------|--|---------------|
| Title | Surname | First name(s) |
| Permanent residential address | | |
| | | Postcode |
| Nationality | Date of birth | |
| | <div>D D M M Y Y Y Y</div> | |
| National insurance number | If you do not have a NI number please tick this box <input type="checkbox"/> | |

☐ I apply to transfer the child's existing Junior ISA/Child Trust Fund into a Stocks & Shares Junior ISA provided by IFSL. (Please ensure section C is completed if you are transferring from another provider).

Section B – Financial Adviser’s Details To be completed by your financial adviser if applicable

Adviser’s name/firm

Adviser’s email address

FCA/FRN number

Agency code (if known)

Adviser’s firm stamp

Please tick all which apply

☐ Cancellation rights apply

☐ Discretionary business

☐ Advised business

☐ Execution only

☐ Portfolio management services

Section C – Transfer Instruction to existing Junior ISA manager or Child Trust Fund (CTF) Provider This section is optional and only needs completing if you are transferring an existing Junior ISA or Child Trust Fund

I hereby authorise you to transfer the Junior ISA/CTF referred to in this section by liquidating the assets and transfer the proceeds with any additional cash held in my ISA to IFSL. Any dividends, tax credits, sale proceeds or other monies should be forwarded as soon as possible. In addition, I authorise you to supply IFSL with full details of the Junior ISA/CTF and understand the transfer process will commence from receipt of this application unless stated otherwise. Please forward a full history of the Junior ISA/CTF along with the cheque made payable to 'IFSL' at your earliest convenience to the address above.

Junior ISA Manager/CTF provider name

Junior ISA Manager/CTF provider address

Postcode

Telephone number

Account number(s)

Approximate value (if known) £

Signature

Date

D

D

M

M

Y

Y

Y

Y

☐ I wish to transfer the whole of the child’s existing Stocks & Shares Junior ISA to a Stocks & Shares Junior ISA held with IFSL

☐ I wish to transfer the whole of the child’s existing Child Trust Fund to a Stocks & Shares Junior ISA held with IFSL

☐ I wish to transfer the whole of the child’s existing Cash Junior ISA subscription to a Stocks & Shares Junior ISA held with IFSL

Section D – Investment Details

Please provide details of your investment choices. This section is mandatory

| Fund name | ISIN of Fund | Lump sum amount | Monthly amount |
|---|--------------|-----------------|----------------|
| 1. | | | |
| 2. | | | |
| 3. | | | |
| 4. | | | |
| 5. | | | |
| Investment limit amount for the tax year £9,000.00 | | TOTAL £ | £ |

Investing a lump sum:

Phone us, to **pay by debit card**.

Alternatively, you can **pay by cheque**, made payable to Investment Fund Services Limited.

Investing monthly: Please complete **Section G** Mandate Details. Detach and keep the Direct Debit Guarantee for your reference.

Payment must come from a bank account in the name of the registered holder.

Section E – Privacy Notice

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full Privacy Notice

It's important that you read our full Privacy Notice, which explains how the personal information you give us will be used. The Privacy Notice can be found on our website at **www.ifslfunds.com**, or you can ask us for a copy.

Section F - Mandate Details

Instruction to your bank or building society to pay by Direct Debit

To: The Manager

Bank/Building society

Address

Postcode

Name(s) of
account holder(s)

Bank/Building society
account number

Branch sort code

x | x | - | x | x | - | x | x

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated.

Service User: Investment Fund Services Ltd (IFSL),
Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Service User No:

2 | 4 | 6 | 5 | 6 | 6

Reference: (to be completed by IFSL)

| | | | | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|
| | | | | | | | | | | | | | | | | | |
|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|--|

Instruction to your Bank or Building Society:

Please pay IFSL Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with IFSL and if so, details will be passed electronically to my Bank/Building Society.

Print name

Signature

Date

D | D | M | M | Y | Y | Y | Y

We will write to you to confirm the date on which your Direct Debit will be collected.



Section G - Declaration

Please ensure that you read and sign this section as this is mandatory

- I declare that I have read and understood the relevant Key Investor Information Document (KIID) and the Supplementary Information Document (SID). I understand that these documents form part of the terms and conditions of my investment in the fund(s). These documents are available at www.ifslfunds.com
- I acknowledge that the full prospectus of the relevant fund(s) (which includes the risk warnings relevant to the fund(s) along with details of minimum investment amounts of the fund(s) and the dealing time deadlines for processing of subscriptions), are available from IFSL and also at www.ifslfunds.com
- I declare that I am 16 years of age or over. The child is resident in the United Kingdom (UK), or is a UK Crown servant, a dependent of a UK Crown servant or is married to or in a civil partnership with a UK Crown servant
- I confirm that the child is not a US Person (either a resident or citizen) and acknowledge that US Persons cannot hold shares/units in the fund(s)
- I am the child/I have parental responsibility for that child
- If the child holds a CTF it must be transferred as part of this application
- I will be the registered contact for the Junior ISA
- I am the registered contact for the child's CTF
- The child named above is the beneficial owner of the account investments
- I apply to subscribe to a Junior Stocks & Shares ISA
- I have not subscribed and will not subscribe to another Junior Stocks & Shares ISA of this type for this child
- I am not aware that this child has another Junior ISA of this type. I am not aware of other Junior ISA subscriptions that will result in this child exceeding the annual limit
- I will not knowingly make subscriptions to Junior ISAs for this child that will result in the subscription limit being exceeded
- I apply to transfer the existing stakeholder CTF as part of the application process
- The following stakeholder CTF features might not be included in a Junior ISA
 - Lifestyling from age 15
 - Minimum subscriptions of £10 allowed
 - Annual charge cap of 1.5%

Section G - Declaration continued

- If the transfer from the CTF is not successful, any Junior ISA that has been opened on a provisional basis to accept the transfer will be invalid, and any subscriptions to the provisional Junior ISA will be returned. The CTF will remain intact. I understand that I will be informed if the transfer is unsuccessful
- I hereby authorise IFSL:
 - (i) to hold the child's subscription, Junior ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash
 - (ii) to make on behalf of the child, any claims to relief from tax in respect of Junior ISA investments
- I acknowledge that I have read and understood the IFSL Junior ISA Terms & Conditions and agree to be bound to these. These Terms & Conditions are available at www.ifslfunds.com
- To the best of my knowledge and belief, all statements made in this application form are true and correct and I shall inform the plan manager immediately of any changes herein
- I have read the IFSL Privacy Notice concerning the use of my personal data

Registered contact signature

Date

D | D | M | M | Y | Y | Y | Y

Section H - The Direct Debit Guarantee Please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit IFSL will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request IFSL to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by IFSL or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society

- If you receive a refund you are not entitled to, you must pay it back when IFSL asks you to
- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

