

Individual Trustee(s): Unit Trust/OEIC Application Form

For use by individual trustee applicant(s)

Please complete this form in BLOCK CAPITALS and return to: IFSL, PO Box 13586, Chelmsford, CM99 2GS, or to your financial adviser.

Section A - Trust Details This section is mandatory

Full name of the Trust

Primary contact name

Trust registered address

Postcode Country

Country of establishment

Correspondence address (if different from registered address)

Postcode Country

Telephone number

Email address

Nature/Purpose of the Trust

Please confirm how the investment will be funded

Do you have an existing account with us? Yes ☐ No ☐

Section B - Financial Adviser's Details To be completed by your financial adviser if applicable

Adviser's name/firm

Adviser's email address

FCA number

Agency code (if known)

Please tick all which apply

☐ Cancellation rights apply ☐ Discretionary business ☐ Advised business

☐ Execution only ☐ Portfolio management services

Adviser's firm stamp

Section C - Trustees This section is mandatory

Please provide details for all Trustees

These are the people with responsibility for the running of the Trust. If there are further Trustees please include their details under separate cover.

Title	Surname	First names
Permanent residential address		
Postcode	Country	Date of birth
Nationality		

Title	Surname	First names
Permanent residential address		
Postcode	Country	Date of birth
Nationality		

Title	Surname	First names
Permanent residential address		
Postcode	Country	Date of birth
Nationality		

Title	Surname	First names
Permanent residential address		
Postcode	Country	Date of birth
Nationality		

Section D – Tax residency This section is mandatory

Tax regulations require us to collect information about each Trustee's tax residency. In certain circumstances, we may be obliged to share information about your account with HM Revenue & Customs, who in turn may exchange this information with tax authorities of another country.

For **all Trustees** named above, please indicate **each** country where they're resident for tax purposes. You must complete this section even if you are only a UK resident. If in doubt, please contact your tax adviser.

Trustee name(s)	Country of tax residence	Tax Identification Number (TIN) <i>not required if you're a UK tax resident</i>

You must inform IFS promptly if any of this information changes.

Section E – Protector or Controller of the Trust

This is someone who oversees the trustees' actions. Please mark 'none' where this isn't applicable.

Title

Surname

First names

Permanent residential address

Postcode

Country

Date of birth

D

D

M

M

Y

Y

Y

Y

Nationality

Section F - Beneficial Owners This section is mandatory

Please provide details of the beneficial owner(s) of the trust.

A beneficial owner is an individual who ultimately benefits from the underlying assets of the trust. If there are further beneficial owners please include their details under separate cover.

Trust beneficial owner

Residential address

Postcode Country

Trust beneficial owner

Residential address

Postcode Country

Trust beneficial owner

Residential address

Postcode Country

Trust beneficial owner

Residential address

Postcode Country

Section G - Investment Details This section is mandatory

Fund name	ISIN of Fund (as shown in KIID)	Lump sum amount	Monthly amount
1.			
2.			
3.			
4.			
5.			
		£	£

You can find minimum investment amounts and regular savers eligibility in the relevant fund prospectus.

Investing a lump sum:

Phone us, to **pay by debit card**.

Alternatively, you can **pay by cheque**, made payable to Investment Fund Services Limited.

Investing monthly: Please complete **Section I** Mandate Details. Detach and keep the Direct Debit Guarantee for your reference.

Payment must come from a bank account in the name of the Trust or trustees. We're unable to accept payment from a third party.

Section H - Future Income and Withdrawal Instruction This section is mandatory

Income options

Where you have chosen to invest in a fund with income units/shares, you can choose how any income paid from those investments should be dealt with. Please tick one option:

☐ REINVEST IN FUND

☐ PAY TO BANK ACCOUNT

Withdrawals

When you choose to take out part, or all, of your investment, we'll make payment direct into your nominated bank account.

Nominated bank

Please provide bank details for any future income and withdrawals to be paid to. Payment can only be made to an account in the name of the Trust or Trustees.

We don't offer payment by cheque.

Name of bank/building society

Name of account holder

Bank account numberSort code

Roll number/building society reference (if applicable)

Section I - Mandate Details

To: The Manager

Bank/Building society

Address

Postcode

Name(s) of
account holder(s)

Bank/Building society
account number

Branch sort code

x | x | - | x | x | - | x | x

Please be aware that any bank details provided in this section will override any existing bank details that we may hold for you, unless otherwise stated.

Service User: Investment Fund Services Ltd (IFSL),
Marlborough House, 59 Chorley New Road, Bolton BL1 4QP

Service User No:

2 | 4 | 6 | 5 | 6 | 6

Reference: (to be completed by IFSL)

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Instruction to your Bank or Building Society:

Please pay IFSL Direct Debits from the account detailed on this instruction subject to the safeguards assured by the Direct Debit Guarantee. I understand that this instruction may remain with IFSL and if so, details will be passed electronically to my Bank/Building Society.

Print name

Signature

Date

D | D | M | M | Y | Y | Y | Y

We will write to you to confirm the date on which your Direct Debit will be collected.



Section J - Authorised Signatories

If there is an Authorised Signatory List (ASL) in place for the Trust, please provide an original or certified copy of the most up to date version. If there is no ASL in place then any instruction will need to be signed by all Trustees.

Section K - Trust Deed and Evidence of Registration

Please provide:

- an original or certified copy of the original Trust Deed/Declaration of Trust.
- any subsequent Supplemental Deeds which relate to the change of Trustees.

Section L - Privacy Notice

We're committed to processing the personal data that you provide to us in line with the latest data protection and data privacy legislation in force within the United Kingdom. We'll use your information in order to provide the service detailed in this Application Form and to administer your investments, communicate with you and provide information on our products and services when you request it, to meet our legal obligations and for the purposes of fraud prevention.

Our full Privacy Notice

It's important that you read our full Privacy Notice, which explains how the personal information you give us will be used. The Privacy Notice can be found on our website at www.ifslfunds.com, or you can ask us for a copy.

Section M - Declaration This section as this is mandatory

To be signed by all Trustees or in line with ASL, where provided.

- I/We declare that I/We have read and understood the relevant Key Investor Information Document (KIID), the Supplementary Information Document (SID) and the Investment Fund Services Limited Terms & Conditions and agree to be bound to these. I/We understand that these documents form part of the terms and conditions of my/our investment in the fund(s) and are available at www.ifslfunds.com
- I acknowledge that the full Prospectus of the relevant fund(s) (which includes the risk warnings relevant to the fund(s) along with details of minimum investment amounts of the fund(s) and the dealing time deadlines for processing of subscriptions), are available from IFSL and also at www.ifslfunds.com
- To the best of my/our knowledge and belief, all statements made in this application form are true and correct and I/We shall inform the plan manager immediately of any changes herein
- I/We declare that I am/We are 18 years of age or over and I/We wish to buy units/shares as set out above at the buying price prevailing at the first valuation point following receipt of this completed application
- I/We declare that I am not/We are not US Person(s) (either a resident or citizen) and acknowledge that US Persons cannot hold units/shares in the fund(s)
- I/We have read the IFSL's Privacy Notice concerning the use of my/our personal data
- I/We have provided the Trust's registration (or exemption) with the Trust Registration Service (TRS) at HMRC

Trustee name

Trustee signature Date

D

D

M

M

Y

Y

Y

Y

Trustee name

Trustee signature Date

D

D

M

M

Y

Y

Y

Y

Trustee name

Trustee signature Date

D

D

M

M

Y

Y

Y

Y

Trustee name

Trustee signature Date

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Y



The Direct Debit Guarantee

Please retain for your own records

Banks and Building Societies may not accept Direct Debit Instructions for some types of account. This guarantee should be detached and retained by the payer.

- This Guarantee is offered by all banks and building societies that accept instructions to pay Direct Debits
- If there are any changes to the amount, date or frequency of your Direct Debit IFSL will notify you 10 working days in advance of your account being debited or as otherwise agreed. If you request IFSL to collect a payment, confirmation of the amount and date will be given to you at the time of the request

- If an error is made in the payment of your Direct Debit, by IFSL or your bank or building society, you are entitled to a full and immediate refund of the amount paid from your bank or building society

– If you receive a refund you are not entitled to, you must pay it back when IFSL asks you to

- You can cancel a Direct Debit at any time by simply contacting your bank or building society. Written confirmation may be required. Please also notify us.

